



digital securities marketplace

# BPX Markets Limited Membership Application Form

September 2025

## Introduction

This application form is for firms wishing to become a Member of BPX MTF.

In addition to completing and signing this application form, the BPX Member Agreement must also be signed.

If you have any questions, please contact the BPX Membership team who can provide you with further details and assistance on the membership application process.

Please use continuation sheets where necessary and indicate this in the Documents Included section at the end of the form.

Completed application forms and associated documentation can be submitted by email to [membership@bpx.exchange](mailto:membership@bpx.exchange).

Please note that BPX reserves the right to request further information and/or supporting documentation during the application process.

## Privacy Notice

Personal information will be requested as part of your application process to become a Member of BPX MTF. The personal information provided in this form will be processed in accordance with BPX Privacy Notice which can be accessed on our website.

## Contact

The BPX Membership team can be contacted at:



[membership@bpx.exchange](mailto:membership@bpx.exchange)



+44 (0) 203 005 4499 (Option 1)

## Agreements

All Members of BPX MTF are bound by the Rules defined in the BPX Members Rulebook and the BPX Member Agreement which are available on our website.

# Section 1 Applicant details

## Contact name of individual completing form

Name

Contact number

Position

## Legal entity name

Country of incorporation

Company number

Legal Entity Identifier  
(LEI code)

## Registered office address

Telephone

Website/URL

VAT Number

## Business address (if different from above)

## Section 2 Regulatory Status of Applicant

All applicants must have the appropriate regulatory authorisation to conduct business as a Member.

Authorisation status  UK Investment Firm/Credit Institution  
 EEA Investment Firm/Credit Institution  
 Other (Please check with the Membership team before applying)

Name of the Home State Regulator

Firm Reference Number

Names of other trading venues of which the applicant currently has membership

## Section 3 Applicant departmental contact details

### Business/trading contact

Name

Position

Contact number

Email

### Compliance contact

Name

Position

Contact number

Email

### Legal contact

Name

Position

Contact number

Email

### Technical operations contact

Name

Position

Contact number

Email

### Authorised User

The Authorised User is the primary contact who has authority to manage the Member's trading profile and trading activities.

Please provide the details of the individual who the Applicant has designated to act as the Member's Authorised User after admission.

It is the Member's responsibility to promptly notify BPX of any changes to the Authorised User.

Name

Position

Contact number

Email

### Trading Activity Contacts

Trading Activity Contacts have the authority to make requests relating to a Member's trading activity on BPX MTF, e.g. requesting a mass order deletion.

Please provide the details of one or more individuals who the Applicant has designated to act as the Members Trading Activity Contacts after admission.

It is the Member's responsibility to promptly notify BPX of any changes to its Trading Activity Contacts.

Name

Position

Contact number

Email

### Trading profile contacts (continued)

Name

Position

Contact number

Email

Name

Position

Contact number

Email

## Section 4 Billing Details

### Billing Address

VAT Number

Contact name for invoices

Title

Contact number

Email

## Section 5 Clearing Arrangements

### Name of Clearing Member (if self-clearing state "self")

Name

## Section 6 Membership applicant declaration

1. The Applicant is applying for membership of BPX MTF.
2. The Applicant declares that the information provided in connection with this application for membership of BPX MTF is true, complete and accurate. The Applicant understands that the application process cannot be completed until a complete application has been submitted.
3. The Applicant acknowledges that it has read and understood the BPX Members Rulebook and agrees to abide by its rules on a continuing basis.
4. The Applicant will notify BPX of any other information that is relevant to its application and will promptly notify BPX of any significant changes to the information it has provided to BPX in connection with its application.
5. The Applicant also understands that misleading or attempting to mislead BPX during or after the application process will be deemed an act of misconduct and may render the Applicant liable to disciplinary proceedings.
6. The Applicant authorises BPX to obtain information from and pass information (including certain personal data) to regulatory authorities in the United Kingdom and elsewhere as requested by such regulatory authorities.
7. By executing this application form below, the Applicant, hereby unequivocally offers and agrees to be bound by the terms of the BPX Membership Agreement.

### Rulebook compliance

- The Applicant shall ensure that its procedures and controls are sufficient to satisfy its obligations under the BPX Members Rulebook.
- The Applicant confirms that all relevant staff will be provided with training and supervision on an on-going basis to ensure appropriate use of BPX MTF.

### Publicity Consent

Do you consent to the inclusion of the Applicant's name and logo on the Trading Member page of the BPX Website? If yes, provide a high resolution .jpeg or .eps format logo

- Yes
- No

**For and on behalf of the Applicant for membership**

Name of authorised signatory

Position

Date

Signature

**For and on behalf of BPX Markets Limited**

Name of authorised signatory

Position

Date

Signature